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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Inventors:

Toshiyuki UEHARA, et al.

Application No.:

09/980,490

Filed:

December 4, 2001

For:

ALUMINUM-ALLOY THERMAL EXCHANGER

## INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents Washington, DC 20231

Sir:

Pursuant to Rules 56 and 99, Applicants hereby call the attention of the Patent Office to the references listed on the attached Form PTO 1449. EP '020, JP '477, JP '575 and JP '392 were cited in a PCT International Search Report (copy attached) and JP '684, JP '527 and JP '977 are discussed in the background section of the application.

Applicants present this art so that the Patent Office may, in the first instance, determine any relevancy thereof to the presently claimed invention, see <u>Beckman Instruments</u>, <u>Inc. v. Chemtronics</u>, <u>Inc.</u>, 439 F.2d 1369, 1380, 165 USPQ 355, 364 (5th Cir. 1970). Also see Patent Office Rules 104 and 106.

Applicants respectfully request that this art be expressly considered during the prosecution of this application and made of record herein and appear among the "References Cited" on any patent to issue herefrom.

Respectfully submitted,

Date:

May 17, 2002

Jame's E. Ledbetter

Registration No. 28,732

JEL/ejw

ATTORNEY DOCKET NO. <u>L8494.01101</u>

STEVENS, DAVIS, MILLER & MOSHER, L.L.P.

1615 L Street, NW, Suite 850

P.O. Box 34387

Washington, DC 20043-4387

Telephone: (202) 785-0100

Facsimile: (202) 408-5200

FORM PTO-1449 U.S. Department of Comp. (Rev. 4/92) Patent and Trademark Off

**EXAMINER** 

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.

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SERIAL NO. 09/980,490

**APPLICANT** 

Toshiyuki UEHARA, et al.

GROUP Unassigned FILING DATE

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EXAMINER: Initial if citation is considered, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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